

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

JAMES CONTANT, MARTIN-HAN TRAN,  
CARLOS GONZALEZ, UGNIUS MATKUS,  
JERRY JACOBSON and, PAUL  
VERMILLION, on behalf of themselves and all  
others similarly situated,

Case No. 17-cv-03139-LGS

Plaintiffs,

vs.

BANK OF AMERICA CORPORATION;  
BANK OF AMERICA, N.A.; MERRILL  
LYNCH, PIERCE, FENNER & SMITH INC.;  
THE BANK OF TOKYO MITSUBISHI UFJ  
LTD.; BARCLAYS BANK PLC; BARCLAYS  
CAPITAL INC.; BNP PARIBAS GROUP;  
BNP PARIBAS NORTH AMERICA, INC.;  
BNP PARIBAS SECURITIES CORP.; BNP  
PARIBAS PRIME BROKERAGE, INC.;  
CITIGROUP INC.; CITIBANK, N.A.;  
CITICORP; CITIGROUP GLOBAL  
MARKETS INC.; CREDIT SUISSE AG;  
CREDIT SUISSE AG; CREDIT SUISSE  
SECURITIES (USA) LLC; DEUTSCHE  
BANK AG; DEUTSCHE BANK  
SECURITIES INC.; THE GOLDMAN  
SACHS GROUP, INC.; GOLDMAN, SACHS  
& CO.; HSBC HOLDINGS PLC; HSBC  
BANK PLC; HSBC NORTH AMERICA  
HOLDINGS, INC.; HSBC BANK USA, N.A.;  
HSBC SECURITIES (USA) INC.;  
JPMORGAN CHASE & CO.; JPMORGAN  
CHASE BANK, N.A.; MORGAN STANLEY;  
MORGAN STANLEY & CO., LLC;  
MORGAN STANLEY & CO.  
INTERNATIONAL PLC; RBC CAPITAL  
MARKETS LLC; ROYAL BANK OF  
SCOTLAND GROUP PLC; RBS  
SECURITIES INC.; SOCIÉTÉ GÉNÉRALE  
S.A.; STANDARD CHARTERED BANK;  
UBS AG; UBS GROUP AG; and UBS  
SECURITIES LLC;

Defendants.

**CORPORATE DISCLOSURE STATEMENT  
OF DEFENDANT BANK OF AMERICA, N.A.**

Pursuant to Federal Rule of Civil Procedure 7.1, Defendant Bank of America, N.A. makes the following disclosure through its undersigned counsel:

Bank of America, N.A. is a wholly-owned indirect subsidiary of Bank of America Corporation, a publicly traded corporation. Bank of America Corporation has no parent corporation, and no publicly held corporation owns 10% or more of its stock.

Dated: New York, New York  
May 8, 2017

SHEARMAN & STERLING LLP

By: /s/ Adam S. Hakki  
Adam S. Hakki

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